

BACTERIOLOGICAL PROFILE OF HOSPITAL ACQUIRED PNEUMONIA IN A TERTIARY CARE TEACHING HOSPITAL, SOUTH INDIA

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Abstract— Background: Hospital acquired infections continue to be an important cause of morbidity and mortality among hospitalized patients. Though Hospital Acquired Pneumonia (HAP) is widely analyzed by many researchers, not much is known about the incidence and bacteriological profile i.e., only few studies are being published by them. This study is conducted to evaluate bacteriological profile of HAP in Intensive Medical Care Unit (IMCU) patients. It may increase the awareness of clinicians about the need to reduce the morbidity and mortality by coming to know about the various pathogens causing HAP and its sensitivity and/or resistance to various antibiotics. The aim of this study was to analyze the incidence, epidemiology and antimicrobial susceptibility pattern of isolates from HAP patients in IMCU. **Materials and Methods:** This prospective study was conducted over a period of one year among 2454 patients admitted in IMCU of Coimbatore Medical College & Hospital, Tamil Nadu. The Specimens Sputum, Bronchoscopic Alveolar Lavage (BAL), Endotracheal aspirate (ETA) and Blood were collected and processed using standard laboratory techniques. **Results:** Out of 2454 cases, 253(10.3%) patients developed HAP. Totally 145 sputum samples, 70 BAL and 38 ETA were collected and processed. The commonest organism isolated was *Klebsiella pneumoniae* (48.2%) followed by *Pseudomonas aeruginosa* (15.3%), *E.coli* (8.4%), *Acinetobacter* species (7.7%), *Proteus* species (6.9%), MRSA (6.2%), MSSA (5.1%), *Serratia* species (0.7%), *Enterobacter* species (0.7%), *Streptococcus pneumoniae* (0.4%) and *Candida albicans* (0.4%). All Gram negative bacterial isolates had 100% sensitivity to Imipenem. 82% (n=108) of *Klebsiella pneumoniae* and 52% (n=12) of *E.coli* were found to be ESBL producers. *Staphylococcus aureus* had a maximum sensitivity to Vancomycin followed by third generation cephalosporins. 54.84% (n=17) of *Staph.aureus* were Methicillin Resistant strains. **Conclusion:** The antibiotic susceptibility pattern of the isolates will help the clinicians to choose the appropriate antimicrobial agents for prophylactic as well as treatment purposes.

Index terms- Hospital Acquired Pneumonia; Intensive Medical Care Unit; Antibiotic susceptibility.

I. INTRODUCTION

Hospital acquired infections continue to be an important cause of morbidity and mortality among hospitalized patients [Hunter (2006)]. The critically ill patient is at particular risk of developing Intensive Care Unit (ICU) acquired infection, with the lungs being especially vulnerable [Hoffken (2002)].

Hospital acquired pneumonia (HAP) is currently the second most common hospital infection accounting for 13 to 18 percent of all nosocomial infections, with estimates of associated mortality ranging from 20 to 50 percent. [Hoffken et al (2002)]. The majority of cases of HAP occur outside of ICUs. However the highest risk is in patients on mechanical ventilation. Estimates of incidence range from 4 to 7 episodes per 1000 hospitalizations [Thomas (2006)]. Intubated patients may have rates of pneumonia 7 to 21- fold higher than patients without a respiratory therapy device [Robert (2005)]. Infection rates are twice as high in large teaching hospitals as compared with smaller institutions [Robert (2005)].

HAP results in a significant increase in the cost of care of hospitalized patients. Its development prolongs a patient's stay in the ICU and most of the extra cost is due to an increased length of hospital stay [Thomas (2006)]. The causes of HAP are varied and differ across different patient populations and different types of ICUs [Kimberly (2006)]. Hospital acquired bacterial pneumonia is frequently polymicrobial with gram negative bacilli predominating [Robert (2005)]. This varied presentation underscores the need for the intensivists treating the patients with HAP to have a clear knowledge of the ambient microbiological flora in their ICU [Kimberly (2006)]. Delayed administration of adequate antibiotic therapy is linked to an increased mortality rate. Hence, the focus of initial antibiotic therapy should provide rapid antibiotic coverage for all likely pathogens. The antibiotic spectrum may then be focused or narrowed based on the results of cultures. A guideline-based approach using the local hospital or ICU antibiogram may help in appropriate and adequate initial therapy and hence reduce the overall use of antibiotics and the associated selection pressure for multi drug resistant organisms [Porzecanski et al (2006)].

Though HAP is widely analyzed by many researchers, not much is known about the incidence and bacteriological profile i.e., only few studies are being published by them. This study was conducted prospectively to evaluate the bacteriological profile of HAP in ICU patients. It may increase the awareness of clinicians about the need to reduce the morbidity and mortality by coming to know about the various pathogens causing HAP and its sensitivity and/or resistance to various antibiotics. The clinicians need to establish a suitable antibiotic policy by working out local ICU antibiogram charts. The aim

of this study was to analyze the incidence, epidemiology and antimicrobial susceptibility pattern of isolates from HAP patients in IMCU.

II. MATERIALS AND METHODS

This prospective study was conducted over a period of one year among patients admitted in Intensive Medical Care Unit (IMCU) of Coimbatore Medical College & Hospital, Tamil Nadu. The study population comprised all patients admitted to the IMCU from May 1, 2007 to April 30, 2008. Approval was obtained from the Institutional Ethical Committee prior to conducting the study and informed consent from all patients under study was also obtained.

HAP was diagnosed based on standard diagnostic criteria adapted by the Centers for Disease Control and Prevention for the diagnosis of pneumonia if signs of pneumonia occurred after 48 hours following IMCU admission [CDC Guidelines (1997)]. HAP was considered when new or progressive chest radiographical infiltrates occurred ≥ 48 hours after hospital admission in conjunction with the following clinical criteria: **At least one of the following:** 1. Fever ($> 38^\circ\text{C} / 100.4^\circ\text{F}$) with no other recognized cause 2. Leukocytosis ($\geq 12,000$ WBC/ mm^3) or leucopenia ($< 4,000$ WBC/ mm^3) 3. For adults ≥ 70 years old, altered mental status with no other recognized cause **And At least two of the following:**

1. New onset of purulent sputum / change in the character of the sputum / increased respiratory secretions / increased suctioning requirements.
2. New Onset or worsening cough / dyspnea / tachypnea (Respiratory Rate > 25 breaths / min)
3. Rales or bronchial breath sounds
4. Worsening gas exchange : O_2 desaturations [$\text{P}_a \text{O}_2 / \text{F}_i \text{O}_2 \leq 240$] / increased O_2 requirements / increased ventilation demand

The following cases were excluded from the study:

1. Patients who died within 48 hours from the time of admission to the IMCU
2. Patients discharged or went home against medical advice within 48 hours of admission
3. Patients who were diagnosed to have pneumonia during the time of or within 48 hours of admission (Pneumonia in these cases were presumed to have developed from a previous hospital admission or community)

A. Data collection:

Data collection began from the time of admission to the IMCU and continued until the occurrence of HAP, death or

Table1. Criteria for the assessment of a good quality respiratory sample in HAP [Leroy et al (2002), Baselski et al (1994)].

| | ETA | BAL | SPUTUM |
|--|--------------------|-------------|--------------------|
| Neutrophils | $>25 / \text{LPF}$ | 77- 82% | $>25 / \text{LPF}$ |
| SEC | $<10 / \text{LPF}$ | $<1\%$ | $<10 / \text{LPF}$ |
| ICO | No data | $\geq 5\%$ | No data |
| Quantitative culture threshold (cfu / ml) | $\geq 10^5 - 10^6$ | $\geq 10^4$ | $\geq 10^5 - 10^6$ |

Antimicrobial susceptibility test:

discharge from IMCU whichever occurred first. On IMCU admission name, age, sex, address, date of admission, diagnosis on admission, underlying illness, presence of immuno compromised state, history of smoking and alcoholism were recorded. A thorough general & systemic examination of the patient was also done. When HAP occurred, the time of onset from hospital admission, temperature, chest radio graphical involvement and leukocyte count were recorded. Intervention – related variables including need

for supplemented O_2 & device used, need for mechanical ventilation, suctioning devices used, naso gastric tube placement, stress ulcer prophylaxis, steroids, sedatives and antibiotics actually given for at least 48 hrs were also recorded.

The data on the hospitalization outcome including length of hospital stay and discharged versus mortality was also determined. The specimens collected were sputum, Bronchoscopic aspirate (BAL), Endotracheal aspirate (ETA) and Blood

B. Processing of specimens:

1) Direct Microscopy:

The BAL, ETA and sputum samples were subjected to Gram staining and Potassium Hydroxide (KOH) mount using standard laboratory techniques [Forbes et al (2007)] to assess the quality of the samples for further processing.

2) Culture Procedures:

The samples were mechanically liquefied and homogenized by vortexing for 1 min and then serially diluted in 0.9% sterile saline solution with final dilutions of 10-2, 10-3 and 10-4. The diluted samples were inoculated onto Blood Agar plate (BAP) with 10% sheep blood, Chocolate Agar plate with 10% sheep blood (CAP) and Mac Conkey Agar plate and Sabourauds Dextrose Agar plate (SDA) by using 4mm Nichrome wire loop (Himedia, Mumbai) which holds 0.01ml of solution for quantitative culture. All plates were incubated overnight at 37°C and CAP at 37°C with 5% CO_2 and one SDA plate was kept at room temperature. All plates were checked for growth overnight and then after 24 & 48 hrs of incubation. SDA plates were checked for any growth daily for the first week and twice a week up to four week. Growth of any bacterial isolate below the threshold (Table 1) was assumed to be due to colonization or contamination. All the bacterial pathogens with colony count above the diagnostic threshold were identified by colony morphology, microscopy and detailed biochemical testings using standard laboratory techniques [Forbes et al (2007)]

Antimicrobial susceptibility test of the bacterial isolates was performed on Mueller Hinton Agar (Hi-media, Mumbai) plates by Kirby Bauer's disc diffusion method and antibiotic sensitivity pattern studied according to Clinical Laboratory Standards Institute (CLSI). Gram negative bacilli were tested for the following antimicrobials (Hi-media, Mumbai): Gentamicin(10µg), Amikacin(30 µg),Amoxycillin/Clavulanic acid (20/10 µg),Cotrimoxazole (25µg), Cephalexin(30µg), Cefotaxime (30 µg),Ceftazidime (30 µg), Ceftriaxone (30 µg), Cefpodoxime(10 µg), Aztreonam(30 µg), Cefepime(30 µg), Ciprofloxacin(5 µg), Ofloxacin(5 µg),Gatifloxacin(5 µg) and Imipenem(10 µg). Gram positive cocci were tested for the following antimicrobials (Hi-media, Mumbai): Ampicillin(10 µg),Amoxycillin/Clavulanic acid(20/10 µg), Oxacillin(1 µg), Gentamicin(10 µg), Amikacin (30 µg), Cotrimoxazole(25 µg), Cephalexin(30 µg), Cefuroxime (30 µg), Cefotaxime (30 µg), Ceftazidime(30 µg), Ciprofloxacin(5 µg), Ofloxacin(5 µg), Erythromycin(15 µg) and Vancomycin(30 µg).

Isolates showing inhibition zones ≤ 22 mm for cetazidime, ≤ 27 mm for cephotaxime, ≤ 25 mm for ceftriaxone, ≤ 22 mm for Cefpodoxime and ≤ 27 mm for Aztreonam were identified as potential ESBL producers [Jacoby (1996)] and they were confirmed by Double disk potentiation test [Parasakthi (2001)].

III. RESULTS

During the one-year study period, among 2658 patients admitted to the IMCU, only 2454 cases were followed and included in this study. The remaining 204 cases were excluded. (118 died within 48hrs of admission, 86 were discharged or went home against medical advice). Out of 2454 cases, 253(10.3%) patients developed HAP. Totally 145 sputum samples, 70 BAL and 38 ETA were collected and processed. Isolates in pure growth or mixture of two organisms at quantitative threshold were considered as significant isolates. All 253 specimens in this study showed significant growth of organisms. About 274 organisms were isolated from 253 samples.

Table 2. Pathogens isolated from HAP patients.

| Name of the Pathogen isolated | No of isolates (%) |
|-------------------------------|--------------------|
| Klebsiella pneumoniae | 132 (48.2) |
| Pseudomonas aeruginosa | 42 (15.2) |

| | |
|---|----------|
| Escherichia coli | 23 (8.4) |
| Proteus species | 19 (6.9) |
| Acinetobactor species | 21 (7.7) |
| Serratia | 2 (0.7) |
| Enterobactor species | 2 (0.7) |
| Methicillin Sensitive Staphylococcus aureus (MSSA) | 14 (5.1) |
| Methicillin Resistance Staphylococcus aureus (MRSA) | 17 (6.2) |
| Streptococcus pneumoniae | 1 (0.4) |
| Candida albicans | 1 (0.4) |

The commonest organism isolated was Klebsiella pneumoniae (48.2%) followed by Pseudomonas aeruginosa (15.3%), E.coli (8.4%), Acinetobactor species(7.7%), Proteus species (6.9%), MRSA (6.2%), MSSA (5.1%), Serratia (0.7%), Enterobactor species (0.7%), Streptococcus pneumoniae(0.4%) and Candida albicans (0.4%) [Table.2]. Twenty one samples had showed mixed growth of two organisms likewise Klebsiella pneumoniae and Pseudomonas aeruginosa in 15 cultures (7 Sputum, 6 BAL & 2 ETA), Klebsiella pneumoniae and E.coli in 3 cultures (2 Sputum & 1 BAL), Klebsiella pneumoniae and MRSA in 2 cultures (1 Sputum & 1 BAL), Klebsiella pneumoniae and MSSA in 1 sputum culture.

Klebsiella pneumoniae, E.coli and P. aeruginosa had a maximum sensitivity pattern to Imipenem followed by Cefepime, Gatifloxacin and Amikacin. All Gram negative bacterial isolates had 100% sensitivity to Imipenem. Among 132 K.pneumoniae isolates 82% (n=108) were found to be ESBL producers. Among 23 E.coli isolates 52% (n=12) were ESBL producers. Staphylococcus aureus had a maximum sensitivity to Vancomycin followed by third generation cephalosporins. No Vancomycin resistant Staph.aureus was detected. 54.84% (n=17) of Staph.aureus were Methicillin Resistant strains [Table.3].

Table 3. Antimicrobial susceptibility pattern of Bacterial isolates.

| ANTIMICROBIAL AGENT Disc content(μ g) | K.pneumoniae (132) | P.aeruginosa (42) | E.coli (23) | Acinetobactor(21) | Proteus sp (19) | Serratia (2) | Enterobactor sp (2) | Staph.aureus (31) | Str. Pneumoniae (1) |
|--|--------------------|-------------------|-------------|-------------------|-----------------|--------------|---------------------|-------------------|---------------------|
| Gentamicin (10) | 75 | 21 | 13 | 13 | 15 | 2 | 1 | 14 | 1 |
| Amikacin (30) | 121 | 27 | 22 | 17 | 19 | 2 | 2 | 19 | 1 |
| Ampicillin (10) | - | - | - | - | - | - | - | 16 | 1 |
| Oxacillin (1) | - | - | - | - | - | - | - | 14 | 1 |
| AmoxyClav(20/10) | 29 | 0 | 7 | - | - | - | - | 24 | 1 |
| Cotrimoxazole(25) | 44 | 4 | 11 | 4 | 11 | 1 | 2 | 7 | 0 |
| Cephalexin(30) | 8 | 16 | 8 | 12 | 10 | 1 | 0 | 26 | 1 |
| Cefuroxime(30) | 8 | 16 | 8 | 12 | 10 | 2 | 1 | 26 | 1 |
| Cefotaxime(30) | 21 | 39 | 13 | 21 | 18 | 2 | 2 | 29 | 1 |
| Ceftazidime(30) | 21 | 39 | 14 | 21 | 19 | 2 | 2 | 27 | 1 |
| Cefepime(30) | 127 | 42 | 23 | 21 | 19 | 2 | 2 | - | - |
| Ciprofloxacin(5) | 102 | 30 | 12 | 12 | 17 | 2 | 1 | 23 | 1 |
| Ofloxacin(5) | 123 | 31 | 12 | 17 | 17 | 2 | 2 | 25 | 1 |
| Gatifloxacin(5) | 127 | 40 | 20 | 18 | 19 | 2 | 2 | - | - |
| Imipenem(10) | 132 | 42 | 23 | 21 | 19 | 2 | 2 | - | - |
| Erythromycin(15) | - | - | - | - | - | - | - | 26 | 1 |
| Vancomycin(30) | - | - | - | - | - | - | - | 31 | 1 |

IV. DISCUSSION

The present study showed that the incidence of HAP was 10.3% (n=253) out of 2454 cases admitted in IMCU, Coimbatore Medical College Hospital over a period of one year. This incidence was lower than the study by Mukhopadhyay et al (2003) from Lucknow (53.9%), Rakshit et al (2005) from Mumbai (47%), Vincent et al (1995) from Europe (46.9%), Dey et al (2007) from Manipal (45.4%), Sopena et al (2005) from Spain (36.4%), Berba et al (1999) from Philadelphia (28.2%) and Merchant et al (1998) from Mumbai (16.7%). This was higher than the incidence reported by Chevret et al (1993) from France (8.9%), Alp et al (2004) from Netherlands (6.8%), Trivedi et al (2000) from Mumbai (9.38%) and Pawar et al (2003) from New Delhi (2.6%). It is possible that our incidence rate may be an over estimate of the HAP in the hospital because of the nature of the clinical criteria used. Studies based solely on clinical criteria alone are criticized because of the non-specificity of parameters like fever, leukocytosis and infiltrates on the chest radiographs. However, the stringent steps followed to make a diagnosis of HAP in this study and the close monitoring before and after the diagnosis of HAP occurred should make our estimate very close to the true HAP incidence. It is unlikely that a true HAP case would have been missed because we did quantitative culture of all specimens (BAL, Sputum and ETA) to discriminate between the true pathogen and the contaminant using the diagnostic threshold for each specimen.

Klebsiella pneumoniae (48.2%) was the commonest organism isolated in this study. Most of the previous studies reported *Pseudomonas aeruginosa* as the commonest isolate from HAP patients in IMCU [Rakshit et al (2005), Mukhopadhyay et al (2003), Pawar et al (2003), Leroy O (2002)]. But *Pseudomonas aeruginosa* was the second common organism in the present study. *Acinetobacter* species (7.7%) was the fourth common isolate in this study. Dey et al (2007), Rajasekhar et al (2006) and Alp et al (2004) reported that *Acinetobacter* species as the commonest organism in their study. *E. coli* was the commonest organism in the study by Tullu et al (2000). It was third commonest organism in the present study. These findings indicate that the causative pathogens always vary in different setups. The present study suggests that the colonization rate for *Klebsiella pneumoniae* may be higher in IMCU.

The rate of polymicrobial infection was found to be only 8.3% in this study which was lower than the study by Mukhopadhyay et al (2003) (16.3%) and Singhal et al (2005) (12.3%). The lower rate of colonization of IMCU environment by more than one type of organisms may be the reason for the lower incidence of polymicrobial infection.

Antimicrobial resistance among Gram negative bacilli is increasing worldwide and is of particular concern in the Intensive Care Unit setting. A direct correlation has been shown between resistance of Gram negative bacilli and patient mortality, cost of patient care and length of stay in the hospital [Aly (2008)]. In a study by Kaul et al (2007) about the Gram-negative bacterial antibiotic susceptibility patterns in IMCU, Christian Medical College, Vellore showed that *Klebsiella* resistance to cefotaxime and ceftazidime ranged

from 25-50% and 14-91%, while *E. coli* resistance to these antibiotics ranged from 50-70% and 50-80% respectively. In this study *Klebsiella* resistance to cefotaxime and ceftazidime was 84% and *E. coli* resistance to these antibiotics were 43% and 39% respectively. The resistance of *K. pneumoniae* and *E. coli* to third generation cephalosporins was higher in this study.

All isolates of *Acinetobacter*, *Serratia* and *Enterobacter* were sensitive to third generation cephalosporins. 92% of *Pseudomonas aeruginosa* and 94% of *Proteus* were sensitive to cefotaxime and ceftazidime. These findings were similar to the study by Kaul et al (2007) from Christian Medical College, Vellore, who reported that in *Pseudomonas aeruginosa* and the other non-fermenting gram-negative bacteria (NFGNB) Ceftazidime resistance decreased. Among Aminoglycosides, most of the GNB were sensitive to Amikacin than Gentamicin. Highest sensitivity rates were detected for Gatifloxacin than Ciprofloxacin and Ofloxacin among Quinolones. These findings were similar to previous studies on antimicrobial resistance among gram-negative bacteria by many authors [Joseph (2001), Muhammad (2007), Rajasekhar et al (2006)].

All Gram negative bacilli isolated in this study had a maximum sensitivity pattern to Imipenem and Cefepime. This was similar to the study by Lockhart et al (2007) about antimicrobial resistance among Gram-Negative Bacilli causing infections in Intensive Care Unit patients in the United States between 1993 and 2004 and Rakshit et al (2005) from Mumbai.

Gram-negative bacilli producing ESBL appear to be on the rise in Asian countries and pose a serious problem in pulmonary infections [Lagamayo (2008)]. In the present study the occurrence of ESBL production among *K. pneumoniae* and *E. coli* were 82% and 52% respectively. For *Klebsiella pneumoniae* this finding was higher than the study by Feizabadi et al (2008) (72.8%), Gonlugur et al (2004) (12.2%), Hosoglu et al (2007) (68.3%), and lower than the study by Verhamme et al (2007) (100%), Vitkauskiene et al (2007) (88.9%) and Dey et al (2007) (100%). For *E. coli* this finding was higher than the study by Gonlugur et al (2004) (20.8%),

Asian HAP Working group [Lagamayo (2008)] (2.3% to 40%) and lower than the study by Hosoglu et al (2007) (74.6%), Dey et al (2007) (100%). In this study ESBLs were predominantly present among *K. pneumoniae* compared to *E. coli*. Our findings are similar to that of most of the studies in Europe and USA [Jacoby (1991)] and the Indian studies by Jain et al (2007) and Shanmuganathan et al (2004). But studies by Gonlugur et al (2004), Hosoglu et al (2007) and Kumar et al (2006) showed higher incidence of ESBLs among *E. coli* than *K. pneumoniae*. The indiscriminate use of third-generation cephalosporins has been proposed as a reason for the rise of ESBL producing strains in India [Lagamayo (2008)].

Among the *Staphylococcus aureus* isolates in this study, the occurrence of Methicillin resistance was observed in 54.84%. This was higher than the study by Leroy et al (2002) (33%) and lower than the study by Mukhopadhyay et al (2003) (59.45%) and Rakshit et al (2005) (100%). No Vancomycin resistant *Staphylococcus aureus* was detected in the present study.

V. CONCLUSION

To conclude, the result of this study will enlighten the knowledge of the health care providers about the incidence of HAP and the pathogens causing HAP. The antibiotic susceptibility pattern of the isolates from HAP will help the health care providers to choose the appropriate antimicrobial agents for prophylactic as well as treatment of Hospital Acquired Infections.

Financial support and sponsorship

Nil.

Conflicts of interest

There are no conflicts of interest

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